



**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DKT. NO.: 5943-00300

APPLICANT: Gordon et al.

FILING DATE: February 12, 2004

SERIAL NO.: 10/777,411

CONFIRM. NO.: 4318

ART UNIT: 3732

**U.S. PATENT DOCUMENTS**

EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<u>AS</u>	USP001	4611581	09/16/1986	Steffee			
	USP002	4696290	09/29/1987	Steffee			
	USP003	4854311	08/08/1989	Steffee			
	USP004	4863477	09/05/1989	Monson			
	USP005	4946458	08/07/1990	Harms et al.			
	USP006	5042982	08/27/1991	Harms et al.			
	USP007	5092867	03/03/1992	Harms et al.			
	USP008	5192326	03/09/1993	Bao et al.			
	USP009	5196013	03/23/1993	Harms et al.			
	USP010	5207678	05/04/1993	Harms et al.			
	USP011	5261909	11/16/1993	Sutterlin et al.			
	USP012	5314477	05/24/1994	Marnay			
	USP013	5443467	08/22/1995	Biedermann et al.			
	USP014	5498263	03/12/1996	DiNello et al.			
	USP015	5545165	08/13/1996	Biedermann et al.			
	USP016	5672176	09/30/1997	Biedermann et al.			
	USP017	5725527	03/10/1998	Biedermann et al.			
	USP018	5782832	07/21/1998	Larsen et al.			
	USP019	5810819	09/22/1998	Errico et al.			
	USP020	5961518	10/05/1999	Errico et al.			
	USP021	5997539	12/07/1999	Errico et al.			
<u>V</u>	USP022	6102950	08/15/2000	Vaccaro			
<u>AS</u>	USP023	6017344	01/25/2000	Errico et al.			

EXAMINER: AS

DATE CONSIDERED: 3/9/07

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

<b>Form PTO-1449</b> (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)	ATTY. DKT. NO.: 5943-00300	SERIAL NO.: 10/777,411
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EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
B	USP024	6063089	05/16/2000	Errico et al.			
	USP025	6106526	08/22/2000	Harms et al.			
	USP026	6113637	09/05/2000	Gill et al.			
	USP027	6132464	10/17/2000	Martin			
	USP028	6217579	04/17/2001	Koros			
	USP029	6375682	04/23/2002	Fleischmann et al.			
	USP030	6413259	07/02/2002	Lyons et al.			
	USP031	6419704	07/16/2002	Ferree			
	USP032	6443990	09/03/2002	Aebi et al.			
	USP033	6447544	09/10/2002	Michelson			
	USP034	6451021	09/17/2002	Ralph et al.			
	USP035	6454806	09/24/2002	Cohen et al.			
	USP036	6478823	11/12/2002	Michelson			
	USP037	6482207	11/19/2002	Errico			
	USP038	6500205	12/31/2002	Michelson			
	USP039	6533818	03/18/2003	Weber et al.			
	USP040	6540748	04/01/2003	Lombardo			
	USP041	6558423	05/06/2003	Michelson			
	USP042	6562074	05/13/2003	Gerbec et al.			
	USP043	6565605	05/20/2003	Goble et al.			
	USP044	6569442	05/27/2003	Gan et al.			
	USP045	6579318	06/17/2003	Varga et al.			
	USP046	6579319	06/17/2003	Goble et al.			
	USP047	6582467	06/24/2003	Teitelbaum et al.			
	USP048	6610091	08/26/2003	Reiley			
B	USP049	6648893	11/18/2003	Dudasik			

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EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<input checked="" type="checkbox"/>	USP050	6679915	01/20/2004	Cauthen			
<input checked="" type="checkbox"/>	USP051	6685742	02/03/2004	Jackson			
<input checked="" type="checkbox"/>	USP052	6706070	03/16/2004	Wagner et al.			
<input checked="" type="checkbox"/>	USP053	6811567	11/02/2004	Reiley			
<input checked="" type="checkbox"/>	USP054	6648917	11/18/2003	Gerbac et al.			
<input checked="" type="checkbox"/>	USP055	6852129	02/08/2005	Gerbac et al.			
<input checked="" type="checkbox"/>	USP056	6863673	03/08/2005	Gerbac et al.			
<input checked="" type="checkbox"/>	USPO57	6562074	05/13/2003	Gerbac et al.			
<input checked="" type="checkbox"/>	USP058	6045579	04/04/00	Hochschuler et al.			
<input checked="" type="checkbox"/>	USP059	6616671	09/09/03	Landry et al.			
<input checked="" type="checkbox"/>	USP060	6576016	06/10/03	Hochschuler et al.			
<input checked="" type="checkbox"/>	USP061	6080193	06/27/00	Hochschuler et al.			
<input checked="" type="checkbox"/>	USP062	6045579	04/04/00	Hochschuler et al.			
<input checked="" type="checkbox"/>	USP063	6706070	03/16/04	Wagner et al.			
<input checked="" type="checkbox"/>	USP064	6641614	11/04/03	Wagner et al.			
<input checked="" type="checkbox"/>	USRE001	RE36758	06/27/2000	Fitz			
<input checked="" type="checkbox"/>	USRE002	RE37665	04/16/2002	Ralph et al.			
<input checked="" type="checkbox"/>	USPA001	20010020476	09/13/2001	Gan et al.			
<input checked="" type="checkbox"/>	USPA002	20020065557	05/30/2002	Goble et al.			
<input checked="" type="checkbox"/>	USPA003	20020123806	09/05/2002	Reiley			
<input checked="" type="checkbox"/>	USPA004	20020128659	09/12/2002	Michelson			
<input checked="" type="checkbox"/>	USPA005	20030028250	02/06/2003	Reiley et al.			
<input checked="" type="checkbox"/>	USPA006	20030069643	04/10/2003	Ralph et al.			
<input checked="" type="checkbox"/>	USPA007	20030074066	04/17/2003	Errico et al.			
<input checked="" type="checkbox"/>	USPA008	20030074067	04/17/2003	Errico et al.			
<input checked="" type="checkbox"/>	USPA009	20030074068	04/17/2003	Errico et al.			

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	FILING DATE: February 12, 2004	ART UNIT: 3732

**U.S. PATENT DOCUMENTS**

EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AS	USPA010	20030074069	04/17/2003	Errico et al.			
	USPA011	20030074070	04/17/2003	Errico et al.			
	USPA012	20030074071	04/17/2003	Errico et al.			
	USPA013	20030074072	04/17/2003	Errico et al.			
	USPA014	20030074073	04/17/2003	Errico et al.			
	USPA015	20030074074	04/17/2003	Errico et al.			
	USPA016	20030135275	07/17/2003	Garcia et al.			
	USPA017	20030139812	07/24/2003	Garcia et al.			
	USPA018	20030204259	10/30/2003	Goble et al.			
	USPA019	20030233145	12/18/2003	Landry et al.			
	USPA020	20040006391	01/08/2004	Reiley			
	USPA021	20040044411	03/04/2004	Suddaby			
	USPA022	20040049190	03/11/2004	Biedermann et al.			
	USPA023	20040049272	03/11/2004	Reiley			
	USPA024	20040049273	03/11/2004	Reiley			
	USPA025	20040049274	03/11/2004	Reiley			
	USPA026	20040049275	03/11/2004	Reiley			
	USPA027	20040049276	03/11/2004	Reiley			
	USPA028	20040049277	03/11/2004	Reiley			
	USPA029	20040049278	03/11/2004	Reiley			
	USPA030	20040049280	03/11/2004	Cauthen			
	USPA031	20040049281	03/11/2004	Reiley			
	USPA032	20040127989	07/01/2004	Dooris et al.			
	USPA033	20040167626	08/26/2004	Geremakis et al.			
↓	USPA034	20040254643	12/16/2004	Jackson			
AS	USPA035	20040254644	12/16/2004	Taylor			

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EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AS	USPA036	20040267364	12/30/2004	Carli et al.			
	USPA037	20040267369	12/30/2004	Lyons et al.			
	USPA038	20050010295	01/13/2005	Michelson			
	USPA039	20050015146	01/20/2005	Louis et al.			
	USPA040	20050015149	01/20/2005	Michelson			
	USPA041	20050021144	01/27/2005	Malberg et al.			
	RA01	20050033439	02/10/2005	Gordon et al.			
AS	RA02	20050033431	02/10/2005	Gordon et al.			

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319 107

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<b>FOREIGN PATENT DOCUMENTS</b>							
EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
AS	FP001	2004019762	03/11/2004	WO			
	FP002	2004019828	03/11/2004	WO			Abstract
	FP003	2004019829	03/11/2004	WO			
	FP004	2004019830	03/11/2004	WO			
AS	FP005	2004024011	03/25/2004	WO			Abstract
<b>OTHER ART</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
AS	OA01	Invitation To Pay Additional Fees From the International Searching Authority for PCT/US2004/025090 mailed on December 7, 2004 (8 pages).					
AS	RA04	Claims from U.S. Patent Application No. 11/050,632 to Gordon et al. entitled "Functional Spinal Units" filed on February 3 2005.					

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# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

## Title of Invention

ARTIFICIAL FUNCTIONAL SPINAL UNIT ASSEMBLIES

Application Number : 10/777411



Confirmation Number: 4318

First Named Applicant: Charles Gordon

Attorney Docket Number: 5943-00300

Art Unit: 3738

Examiner: D. J. Isabella

Search string: ( 4863476 or 6648915 or 20040117020 or 20050273171 or 20050273173 or 20050273174 or 20050273175 or 20050278026 or 20050283244 or 20050283245 or 20050283247 or 20050283248 ).pn

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
/AS/	1	4863476	1989-09-05	Shepperd			
/AS/	2	6648915	2003-11-18	Sazy			

## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
/AS/	1	20040117020	2004-06-17	Frey et al.			
	2	20050273171	2005-12-08	Gordon et al.			
	3	20050273173	2005-12-08	Gordon et al.			
	4	20050273174	2005-12-08	Gordon et al.			
	5	20050273175	2005-12-08	Gordon et al.			
	6	20050278026	2005-12-15	Gordon et al.			
	7	20050283244	2005-12-22	Gordon et al.			
	8	20050283245	2005-12-22	Gordon et al.			
✓	9	20050283247	2005-12-22	Gordon et al.			
/AS/	10	20050283248	2005-12-22	Gordon et al.			

Signature

Examiner Name	Date
/Ann Schillinger/	03/09/2007